



OVERVIEW

67% FASTER INVESTIGATIONS

90% COST SAVINGS MANAGED IN-HOUSE

10x CASE CAPACITY MONTHS TO WEEKS

 IN-HOUSE. NO ADDITIONAL RESOURCES

 ENHANCED SECURITY

 FUTURE-READY

The financial regulatory sector operates within a highly complex and fast-evolving landscape. Buffeted by the complexities of cross-border financial activities, an overwhelming surge in data, and the rise of sophisticated financial crimes, regulators are under immense pressure.

A major European financial regulator, dedicated to safeguarding the financial system sought a new way to manage the increasing complexity and scale of their role.

The regulator's mandate demands rigorous oversight, transparency, and results that instill public confidence across every regulatory action and enforcement process.

The regulator faced numerous challenges including managing increasing data volumes, expanding remits, mounting enforcement workloads, and navigating strict regulatory timelines.

CHALLENGE

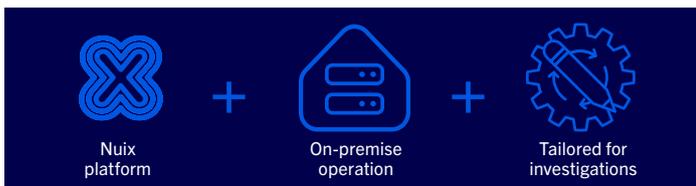
The regulator’s enforcement division was at the forefront of these challenges. After years of relying on a legacy eDiscovery solution, the team encountered numerous limitations. These included slow case processing, inefficiencies in document review workflows, and heavy reliance on external resources for large, complex investigations.

One standout example highlighted the urgent need for change. A 2021 investigation required the review of 20,000 items. This involved outsourcing five external reviewers at an additional cost of €40,000, taking three months to complete the task. With a growing caseload and the average case size continuing to increase, the legacy system could no longer meet the needs of the enforcement team.

The regulator sought a modern, scalable solution with end-to-end capabilities across the eDiscovery Reference Model (EDRM). Their goal was clear – to enhance internal capabilities, reduce reliance on third parties, drive cost efficiencies, and ensure consistency and speed in delivering on their enforcement responsibilities.

SOLUTION

Following a competitive tender process, the regulator selected Nuix to provide its digital forensics and eDiscovery platform. The solution combines Nuix’s powerful data processing and industry-leading review platform. Designed for on-premises operation, the Nuix solution was tailored to support fast, secure, and efficient investigations.



The immediate focus was integrating and embedding Nuix within the enforcement team’s workflows. Despite strict security protocols and a detailed implementation process, the installation proved seamless. Close collaboration between Nuix, the organization’s technical IT team and digital forensics team, ensured effective customization, training, and support throughout the roll-out phase.

“When it was time to deliver, Nuix didn’t let us down. The platform gave us the tools and confidence to act decisively, even under intense pressure to resolve critical cases.”
Digital Forensic Officer, Leading European Financial Regulator.

ACHIEVING TRANSFORMATIONAL RESULTS

The impact of Nuix’s solutions was evident from the very first case processed on the platform. Unlike the prior system, which struggled with large-scale investigations, Nuix enabled the regulator to handle a sensitive case involving over 250,000 items – ten times the size of previous workloads.

Using Nuix, the enforcement team reduced the dataset to just 4,000 relevant items within four weeks. By leveraging Nuix, the investigation was completed internally using three specialists, in a third of the time, at half the resource cost, and for a tenth of the expense compared to prior processes.



With Nuix, we completed the investigation 67% faster, using 50% fewer resources, and cutting costs by 90% - all securely managed in-house with our existing team of specialists.

Forensic Technology Manager,
Leading European Financial regulator

The enforcement team quickly realized additional benefits, including a dramatic improvement in productivity, reduced idle time, and faster resolutions. The advanced capabilities of Nuix streamlining data reduction, analysis, and review functions led to substantial gains in efficiency and cost savings.

“Handling a critical investigation with vast volumes of sensitive data is no small task. Nuix delivered flawlessly, proving its strength and solidifying our decision to invest in this solution.”
Forensic Technology Manager, Leading European Financial Regulator

DRIVING IN-HOUSE CAPABILITIES AND STRATEGIC ALIGNMENT

The Nuix implementation aligned seamlessly with the regulator’s broader transformation goals. Committed to adopting innovative technology and improving team collaboration, the regulator sought to reduce reliance on costly third-party providers, increase control over their sensitive data, and elevate staff satisfaction by enabling more fulfilling, impactful work.

Nuix delivered on all fronts. By bringing processes in-house, the enforcement team boosted control and transparency.

“With Nuix we can do more with the team we have”. - Forensic Technology Manager, Leading European Financial Regulator.



...With Nuix, we're maximizing the value of our team while maintaining the trust and confidence of those we serve.

Forensic Technology Manager,
Leading European Financial Regulator

Staff who previously handled repetitive tasks using the legacy system were now empowered to focus on higher-value initiatives. Idle time was significantly reduced, expertise was effectively shared across the team with repeatable workflows and digital forensic officers were confident in the processing of case data that no key evidence was missed. Leading to fast, meaningful analysis, aiding strategic priorities, improving both morale and retention.

"As we've seen, challenges will only grow in scale and complexity. Nuix gives us the confidence and capability to handle what's next. It's a partnership we view as key to meeting our commitment to protecting consumers and safeguarding the financial system." - Forensic Technology Manager, Leading European Financial Regulator.

DIFFERENT APPROACH, CONSISTENT RESULTS

Nuix's advanced technology has redefined what the regulator can achieve with its enforcement efforts. From handling sensitive cases at unprecedented speeds to reducing costs and enabling deeper data insights, Nuix provides the tools and consistency to ensure accountability, accuracy, and public confidence in their work.

This consistency is key not only for maintaining productivity but for ensuring integrity of the work. Nuix applies the same processing profiles and methods every time, regardless of who is using it or when.



...With Nuix, we can move forward confidently, knowing no vital evidence has been overlooked.

Forensic Technology Manager,
Leading European Financial Regulator

"Even with a standard operating procedure, individuals approach the same task in nuanced ways, leading to inconsistencies. Nuix has eliminated that challenge, ensuring consistent processing profiles and actions every single time. It has truly transformed the way we work." - Forensic Technology Manager, Leading European Financial Regulator.

As a result, the team focuses on higher-level analysis and decision-making, confident that the processing of the evidence has been handled consistently and accurately.

With Nuix, the regulator's enforcement team has not only enhanced their ability to meet today's demands but has laid a foundation for success in delivering on their critical mission for years to come.

LOOKING AHEAD

The regulator's experience underscores Nuix's role as a critical enabler of transformation within complex regulatory environments. Having already demonstrated measurable success and broader operational impacts, the regulator is exploring how to expand Nuix's use across additional directorates, including legal and data subject request teams. Planning to integrate Nuix's capabilities for Freedom of Information (FOI) and Data Subject Access Requests (DSARs).

Nuix's flexibility and scalability have positioned the solution at the heart of the regulator's innovation plans.

Beyond the investigations and enforcement team, the organization is looking at how Nuix can support the broader business goals and innovation projects.

"Nuix's ability to handle unstructured data is unparalleled. It leaves no stone unturned, no place for data to hide, and ensures our enforcement team captures every critical detail. With Nuix, we can move forward confidently, knowing no vital evidence has been overlooked." - Forensic Technology Manager, Leading European Financial Regulator

FINDING TRUTH IN A DIGITAL WORLD

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or contact us for a free demo
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