

NUIX NEO FOR ANTI MONEY LAUNDERING INVESTIGATIONS

Step up your fight against financial crime with Nuix Neo, the platform solution for tackling your most complex anti-money laundering (AML) investigations and challenges.

Financial crime is growing at an alarming rate. Trillions of dollars are laundered annually¹ and as a result, AML regulations have become increasingly stringent and far-reaching. Markets around the globe are introducing legislation and regulatory reforms, including Australia's Tranche 2 AML/CTF reforms, the EU's new AML legislative package, and AML/CFT program modernization in the United States under the Anti-Money Laundering Act and FinCEN rulemaking, to strengthen efforts to combat money laundering, terrorist financing and proliferation financing. Regulated entities face skyrocketing compliance costs and severe penalties for failures to comply.

In response, financial institutions spend hundreds of billions of dollars globally on financial crime compliance², with the majority of that spend tied to operations and labor-intensive processes³. Despite these enormous investments, AML processes are still plagued with high rates of false-positives, inefficiencies and delays that frustrate customers. More importantly, current practices often fail to detect and prevent financial crime.

Financial institutions are struggling to uncover, process and interpret unstructured data. Critical information is often buried in emails, contracts, trust deeds, PDFs, chats, and scanned documents – formats that most AML systems cannot effectively analyze. This leaves connections and patterns necessary to combat financial crime hidden.

Nuix Neo transforms investigations for regulated entities and regulators alike, by combining world-leading data processing, advanced automation, and responsible AI capabilities to boost productivity, enhance compliance, and drive faster results.

Trusted by regulators as well as law enforcement, global banks, and law firms, Nuix has long been a staple in complex, high-stakes investigations. Nuix Neo empowers regulated entities like banks to detect and proactively mitigate financial crime risks.

YOUR PARTNER IN THE FIGHT AGAINST FINANCIAL CRIME

AML investigations require the analysis of vast amounts of fragmented, unstructured data from diverse sources, often resulting in slow, resource-intensive operations.

With Nuix Neo, AML regulators and regulated entities get a defensible, auditable, end-to-end platform from detection, to investigation, to suspicious matter reporting:

> **Detect:** Nuix Neo can analyze language patterns, risk signals, and techniques associated with financial crime; including money laundering, terrorist financing, and proliferation financing, to help identify emerging tactics and patterns.

> **Investigate:** Surface, organise, and connect evidence at speed. Nuix brings all the information needed to support complex, high-stakes AML investigations together. Our intuitive knowledge graph capability delivers entity resolution and network analysis that exposes hidden relationships. AI assessment models determine if evidence meets the threshold for AML reporting or enforcement referrals. Critical content is prioritized for human review, reducing reviewer time and manual effort.

> **Report:** Nuix enhances adherence to stringent regulatory requirements, automating the drafting of Suspicious Matter Reports (SMR), Suspicious Activity Report (SAR), Enhanced Customer Due Diligence (ECDD) reports for human review and safeguarding sensitive data. While maintaining clear audit trails, and defensible processes. With Nuix you can build a holistic picture of unusual or suspicious activity across related or separate investigations with forensic precision.

¹ United Nations Office on Drugs and Crime (UNODC), the estimated

² Celent Report, *IT and Operational Spending on Financial Crime Compliance: 2024 Edition*

³ Forrester Consulting and LexisNexis Report, *True Cost of Financial Crime Compliance Study – U.S. and Canada, 2024*

ADVANTAGES OF NUIX NEO FOR AML

For decades Nuix has been a market leader in processing unstructured data. Nuix Neo delivers consistent, auditable results that streamline compliance with AML regulations.

Automated Workflows: Nuix Neo intelligently automates workflows across the AML investigation process, collecting data from multiple sources, entity resolution, detecting key risks across geographic, PEP, structuring, sanctions, transactional, and adverse media indicators. It then summarizes and prioritizes evidence for review, extracts entities from unstructured data, and drafts SMRs and SARs ready for human review.

Deeper Insights: Nuix Neo leverages Nuix's core strength in gaining powerful insights from unstructured data sources such as trust deeds, adverse media feeds, and registry entries, allowing AML investigators to uncover critical intelligence with speed and precision.

Enhanced Regulatory Reporting: Nuix automates the drafting of SMR, SAR, ECDD reports and other investigation outputs. Investigators retain full control to review, refine, and approve every output before submission to FIUs (Financial Intelligence Units), regulators, or due diligence committees, keeping a human in the loop at every critical decision.

Responsible AI: Nuix Neo adheres to Nuix responsible AI principles of explainability, accessibility, specificity, fairness and safety. Our no-code ethical AI models deliver step change improvements in speed and accuracy, while ensuring results are both explainable and defensible.

Entity Resolution and Network Analysis: Nuix Neo's network analysis and entity resolution capabilities, reveal hidden connections and entities across cases, enabling comprehensive intelligence sharing to identify patterns, expose trends, and disrupt complex money laundering crime networks.

Over 1,000 file types supported: Nuix Neo aggregates data sets from disparate sources, analyzing more than 1,000 file types at speed. Nuix Neo interrogates data from other AML systems or digital forensic tools you may have invested in.

SMARTER INSIGHTS, STRONGER COMPLIANCE

Nuix Neo can aggregate and enrich data from numerous sources, reduce false-positives and negatives, and decrease time to insight – allowing your AML investigations teams to reveal hidden connections, make decisions faster and achieve regulatory compliance with confidence and precision.

WHO WOULD BENEFIT FROM NUIX NEO FOR AML?

If you are a regulator, FIU, financial institution, law firm or other AML regulated entity with a growing backlog of AML investigations, Nuix Neo can help you leverage a wide range of data sources, both structured and unstructured, driving efficient compliance and delivering the right answers in record time.

OUTCOMES THAT MATTER: FROM COMPLIANCE BURDEN TO STRATEGIC ADVANTAGE

Institutions don't need another AML tool - they need outcomes that move the needle. Nuix Neo delivers measurable impact:

- **Faster, deeper investigations** powered by automation and AI-guided workflows
- **Fewer false positives**, enabling teams to focus on high-risk activity – not noise
- **Improved regulatory outcomes**, with consistent, auditable, and defensible reporting
- **Reduced compliance costs** through automation, repeatable processes, and efficiency gains
- **Future-proofed** with Responsible AI, ensuring explainability, fairness, and global compliance

Nuix Neo isn't just a solution – it's a critical enabler of modern, intelligence-driven financial crime enforcement.



GET STARTED TODAY

Contact us to discover how Nuix Neo can revolutionize your AML investigations, covering the entire spectrum of financial crime, from detection to prosecution. Equip your investigative teams with cutting-edge technology to swiftly and accurately review evidence, link complex cases, and identify emerging financial crime risks. Speak with Nuix today to transform your investigative workflows—enabling your team to deliver high-quality intelligence to FIUs and protect your organization from evolving threats.