

# 2021 Global Regulator Report

WE SURVEYED 31 FINANCIAL AND COMPETITION REGULATORS IN 18 COUNTRIES AND ASKED THEM...



## DO YOU WARN TARGETS BEFORE STARTING AN INVESTIGATION?

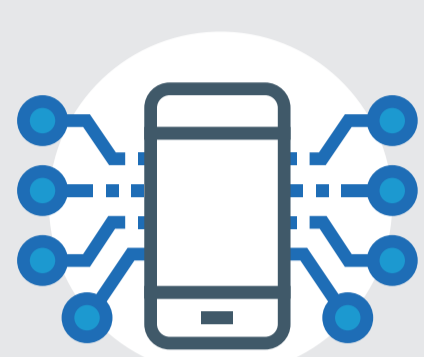


**39%** YES  
we have to



**61%** NO  
we prefer to surprise them

## WHICH DATA SOURCES DO YOU FIND MOST CHALLENGING TO COLLECT AND INVESTIGATE?



Mobile

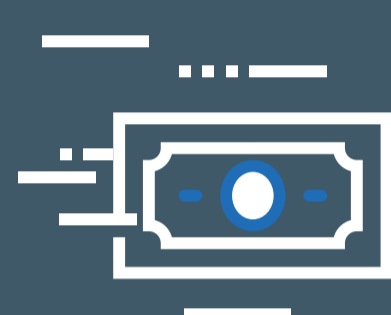


Social Media



Cloud

## WHAT ARE THE KEY ISSUES YOU FOCUS ON WHEN ASSESSING AN INVESTIGATION?



Financial impact



Extent of the suspected activity



Size of the investigated entity



The story that emerges from the data

## HOW DO YOU CHOOSE WHICH MATTERS TO INVESTIGATE?



**42%**

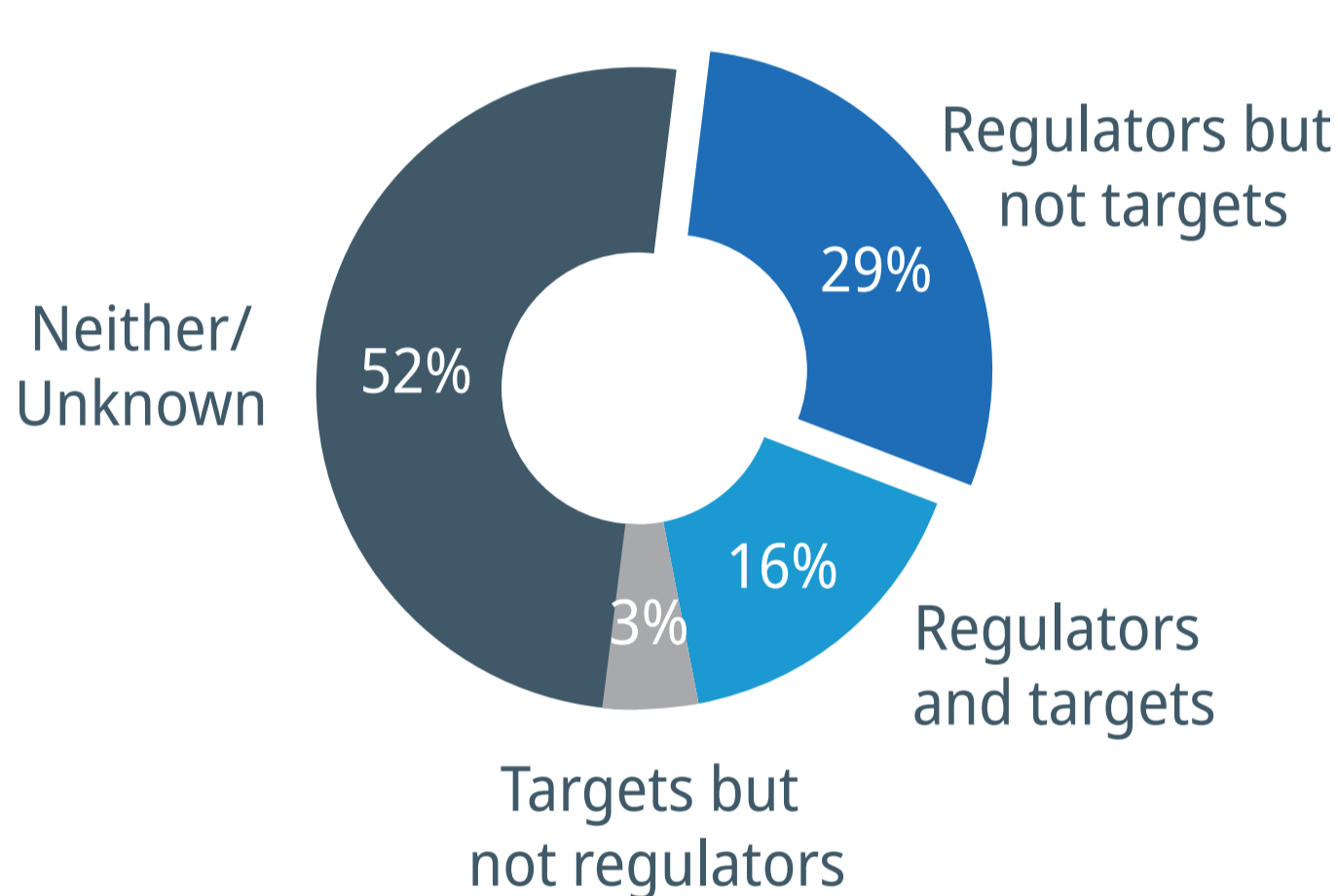
Complaints or whistleblowers



**58%**

Other methods (statistical analysis, referrals, social media etc.)

## WHO USES PREDICTIVE CODING - YOU OR YOUR TARGETS?



## DO YOU USE ANALYTICS TOOLS OR PREDICTIVE CODING TO PRIORITIZE REVIEW OR REDUCE DATA VOLUMES?

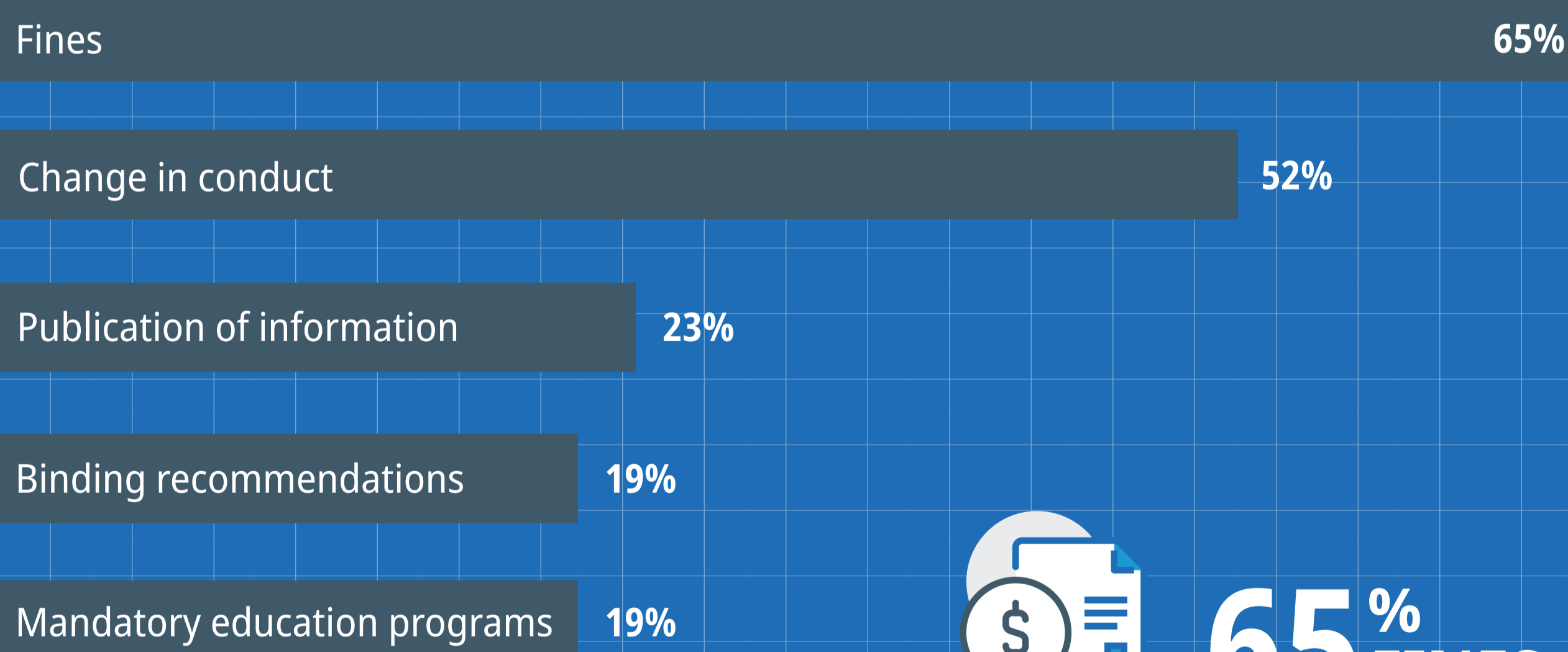


**42%** YES



**58%** NO

## WHICH TYPE OF ENFORCEMENT DO YOU TYPICALLY PREFER?\*



**65%** FINES

\*Respondents could select more than one answer

TO FIND OUT MORE OR READ THE FULL REPORT, VISIT [GO.NUIX.COM/2021-REG-FULL-REPORT](http://GO.NUIX.COM/2021-REG-FULL-REPORT)



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